









Reflections on a Human Rights Based Approach to Evaluation: Focus on Vulnerable and Marginalized Groups Participation

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Content

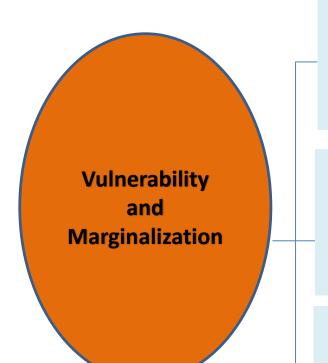
- Definitions
- Evaluation Findings
- Evaluation Practice at UNFPA EO
- Evaluation Reports



Definitions



Defining "vulnerable and marginalized"



Who?

Individual

Erosion of human development achievements and their sustainability

Particular group Vulnerable and Marginalized groups

experience high vulnerability and suffer discrimination in access to resources/power

Community

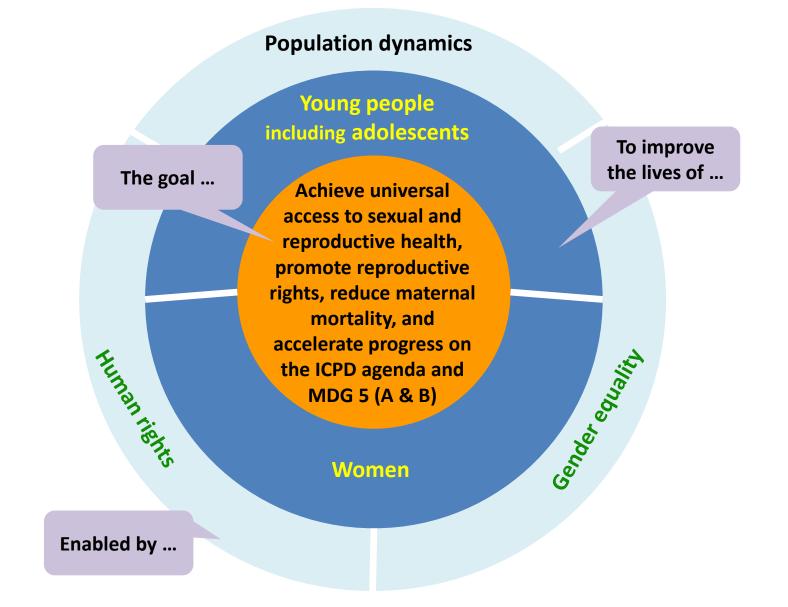
Vulnerability extends further to the community level when there is limited to no access to services and/or employment Structural Drivers of Vulnerability

Multiple intersecting structural factors, such as:

- Social / cultural norms and values
- Interconnected social categorizations (i.e., race, gender, disability, sexual orientation, socio-economic status etc.)
- Access to resources and opportunities
- Laws and policies



At the Center of UNFPA Bull's Eye



Vulnerable and Marginalized Groups within the Context of Family Planning

- The poorest of the **poor** and women living in poverty
- > Adolescents and youth
- > Women survivors of violence
- > People living with HIV and women living with HIV
- Female sex workers
- ➤ Minorities and indigenous people
- ➤ Women living with **disabilities**
- > Refugees and internally displaced persons
- ➤ Women living under occupation
- > Ageing populations and "populations of humanitarian concern"













Vulnerable and Marginalized Adolescents and Youth

- Adolescents and youth are a heterogeneous group
- Face multiple and intersecting vulnerabilities along various dimensions of identity:

EDUCATION

RACE

- Location (rural/urban)
- Gender
- Sexual Orientation/Gender Identify (other)
- Age
- Oln/Out of School
- Marriage status
- Pregnancy status



Evaluation Findings





Human rights-based programming

FP Evaluation Focus and Corresponding HR principles

- Emphasises the process as well as the outcome of programming
- Draws attention to the most marginalised populations
- Extends and deepens participation
- Interventions should lead to equitable benefits
- Ensures local ownership of development processes
- Strengthens accountability of all actors

- Ensuring access for all (non-discrimination)
- Commodities, logistics and procurement (availability)
- Organisation of health facilities (accessibility)
- Quality of care (acceptability, quality, informed decision-making, privacy and confidentiality)
- Comprehensive sexuality education (accessibility)
- Humanitarian context (right to accessible services)
- Participation by potential and actual users (participation)



Family Planning

Visible and vocal global **leader as advocate for human rights-based approach** to programming and for **rights and needs of VMGs** (mainly youth)

Lack of shared understanding on how to operationalize and implement a HRBA for family planning at country level

- ► In some contexts, issue of balance between the **push for accelerated family planning results** and promotion of a human-rights based approach
- ► Focused programme attention on **rights to access/expanded method mix** with less attention to quality and voluntary choice in contraceptive service delivery
- ► Resource constraints hinder systematic situation analyses to support to **vulnerable** and marginalised groups programmes, despite commitment to meet VMG needs
- Operational implications of the objective to "target the needs of the most vulnerable" is unclear including how to manage trade-offs between allocating resources to reach VMGs and contributing to FP2020 targets



Adolescent and Youth (1/2)

UNFPA has been at the vanguard of calling for the respect, protection and fulfillment of the human rights of adolescents and youth

- Long-standing commitment to meeting needs of marginalised and vulnerable adolescents, yet lack of conceptual clarity on "vulnerable" and "marginalized"
- Stronger in identifying (via needs assessments) VMG, with continued challenges in ensuring systematic participation of VMG in consultation, programme design, and reachh
- Nonetheless, efforts to incorporate marginalised and vulnerable A&Y in programming, particularly adolescent girls, has increased since 2008
- Programming has a particular focus (and strong results) on two VMG: adolescent girls and young populations at risk of HIV
- ► However, adolescents and youth programming has **not focused sufficiently on young adolescent girls aged 10 to 14 years**, with more support needed in the collection, analysis and use of data (on this group)



Adolescent and Youth (2/2)

UNFPA has contributed to the increased availability and use of sexual and reproductive health services and the availability of SRH education for A&Y

- Increased availability of SRH education and information and availability and use of SRH services for A&Y generally
- Reduced legal and policy barriers to sexual and reproductive health services increased use by young people
- Similarly, multi-sectoral and mainstreamed service programmes that engaged communities improved accessibility for young people and comprehensiveness of services
- ► Particularly important contributions to increasing the use of youth-friendly health services and SRH education and information for **in-and out-of-school youth**
- However, gender based violence services critical for GBV survivors (a identified VMG)
 were not regularly integrated in youth-friendly health services



Evaluation Practice



Principles to Ensure an Ethical, Human Rights and Gender Responsive Approach to Evaluation

1. Respect for confidentiality

2. Respect for dignity and diversity

3. Equality, inclusion and non-discrimination

4. Participation by those involved in the intervention as well as those directly affected by rights violations, including vulnerable and marginalized communities

5. Wide ranging and broad consultation with consideration for gender balance

6. Transparency and reporting back to stakeholders



7. Avoidance of harm

8. Avoidance of conflict of interest

Translating Principles into Action

Gender and HR expertise incorporated in the evaluation team and reference group which includes representatives of

VMGs

Stakeholder Analysis is performed, and diverse groups identified (including beneficiaries and those most affected by rights violations)

Evaluation questions on HR and
GE including vis-a-vis
VMGs

crosscutting theme running through other areas of investigation

HR and GE is a

Methodology

use of mixed methods, data triangulation, and participation of stakeholders in evaluation, including in validation

Data collection:
stakeholders groups
consulted and awareness of
bias in privileging certain
ways of "knowing"; social
and communicating skills

Indicators and data

disaggregation: incl. indicators that specifically address HR and GE. Disaggregating data by gender, race/ethnic group, age, rural/urban, disabilities, sexual orientation, income, HIV/AIDS status, literacy and education level etc.

Dissemination

planned with VMGs and directed at diverse groups



Gender and HR
expertise incorporated
in the evaluation team
and reference group
which includes
representatives of
VMGs

Evaluation Team

Criteria

Women and men

Local and international evaluators

Evaluation knowledge and experience (quantitative and qualitative methods)

Knowledge and experience in the thematic area under evaluation

Commitment to gender equality, and knowledge and experience in evaluating gender equality interventions

Commitment to human rights, and knowledge and experience in evaluating human rights interventions

Understanding and application of UNFPA mandate and programmes

Experience in and knowledge of participatory approaches and methods

Research and relational skills, including cultural competence

Knowledge of regional/country/local context and language

Absence of conflict of interest and adherence to UN Ethical Guidelines and Code of Conduct

In A&Y Evaluation, youth consultants were core team members in each of 5 country case studies

 Yet, challenges remain in reflecting the plurality and diversity of A&Y in team



GE and HR expertise incorporated in the evaluation team and reference group which includes representatives of V&M groups

Evaluation Reference Group

UNEG Guidance: Ensure participation of a range of stakeholders notably **NGOs** and **community groups** at the forefront of advancing the work in communities and, ideally, final **beneficiaries**

- Evaluation Reference groups contained to: participation of UNFPA business units at headquarters, regional and country levels and external partners (e.g., other UN agencies; development cooperation agencies)
- NGOs, representatives of VMGs directly affected by rights violations are not included in the ERG
 - ➤ Setting up of *national* reference groups (countries selected as case studies) in currently ongoing evaluations (RMNCAH/H4+; GBV evaluations): *inclusion of national NGOs and CSOs*

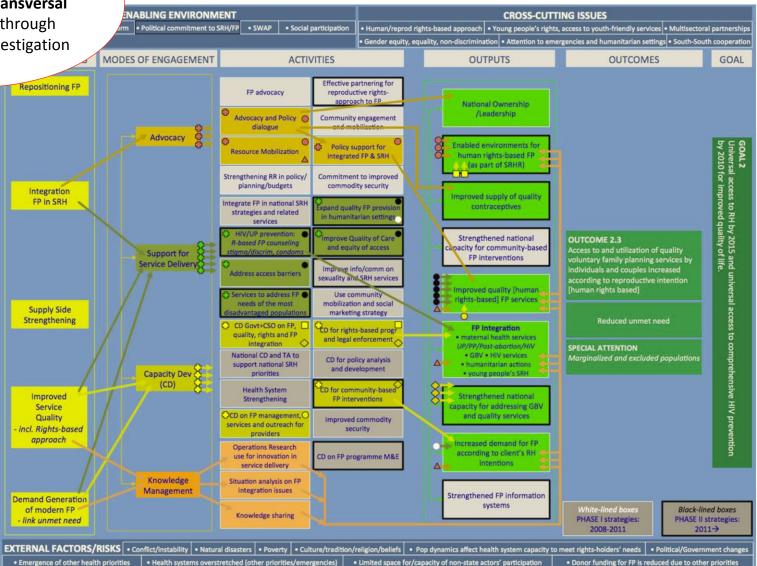


Theory of Change: Pathways of Change (2/2)

Evaluation questions on HR and GE including vis-avis VMGs

HR and GE is a **transversal theme** running through other areas of investigation

\rea 5: VULNERABLE AND MARGINALISED GROUPS





Evaluation questions on HR and GE including vis-a-vis VMGs
HR and GE is a cross-cutting theme running through other areas of investigation

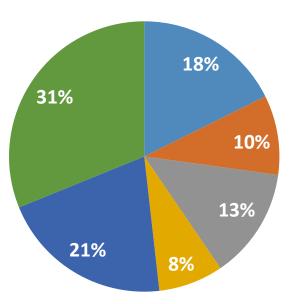
Evaluation Questions

	Evaluation questions	Evaluation criteria
FP	Enabling environment to ensure family planning information and exercise of rights	Relevance, effectiveness
FP	Vulnerable and marginalised groups; identify needs, resource allocation and promotion of rights	Relevance, effectiveness, efficiency
FP	Rights-based approach ; access, quality of care, and support to CO for rights-based approach	Relevance, effectiveness
FP	Supply-side activities improving access to quality voluntary family planning	Relevance, effectiveness, sustainability
A&Y	Support to A&Y, particularly the most marginalized and vulnerable, aligned with UNFPA policies, government priorities, and needs/plans of A&Y	Relevance
A&Y	Human rights, gender responsive and culturally sensitive approaches to A&Y programming, with marginalized and vulnerable A&Y, particularly young adolescent girls, prioritized	Relevance
A&Y	Evidence-based policies and programs incorporate the needs and rights of A&Y, with increased priority on adolescent girls in national development policies and programs	Effectiveness and Sustainability
A&Y	Increased leadership, participation and empowerment , especially for VM A&Y, particularly adolescent girls	Effectiveness and Sustainability



Stakeholder Mapping/Analysis (1/3)

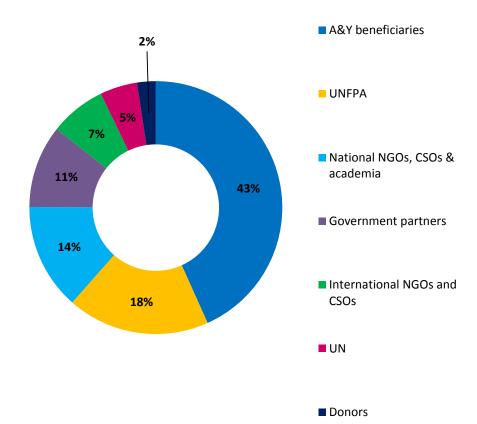
Family Planning



- UNFPA
- UN agencies and international DPs
- Central Government bodies
- Reg/ District govn bodies
- INGOs/NGOs/ CSOs
- Users

Stakeholder Analysis is performed, and diverse groups identified (including beneficiaries and those most affected by rights violations)

Adolescents and Youth





Stakeholder Mapping/Analysis (2/3)

Stakeholder Analysis is performed, and diverse groups identified (including beneficiaries and those most affected by rights violations)

Guidance: (1) Systematically consider gender and geographic balance in stakeholder make-up; (2) Aim to deepen the level of disaggregation of stakeholders; (3) Genuinely engage with a range of stakeholders (rights bearers, women's rights groups etc.)

- Binary gender (female/male) systematically considered; geographical spread driven by interventions
- Depth of disaggregation constrained by obstacles/limitations during the country visits
- ➤ Participation of VMGs largely based on incentives and structured around evaluator's communication skills set/style; engagement largely limited to the data collection



Stakeholder Mapping/Analysis (3/3)

Evaluation of UNFPA Support to Prevention, Response to and Elimination of GBV: An expanded stakeholder mapping

In line with the human rights-based approach, a systems-based approach used to map the key stakeholders in UNFPA's interventions, disaggregated by human rights roles and gender analysis where relevant.

Table 11: Identification of stakeholders using Critical Systems Heuristics

Stakeholding role and challenge	Stakeholders	Human rights roles	Gender analysis
Sources of motivation (intended ultimate beneficiaries and agents for change)	Women-Across the life cycle Young women (20-30), Adolescent girls (15-20), Young adolescents girls (10-15) Older women Men-across the life cycle Young men, Adolescent boys, Young adolescent boys Older men Young girls Under 5 Young boys Under 5	Rights holders Primary duty bearers (as agents of change, as heads of households and assumed/traditional decision-makers) Rights holders	Gender identities (M, F, L, G, B, T, I) Intersectional identities - Educational level (non, in, out, post, public/religious) - Marriage / union (divorce, head of household de facto/de jure) - Motherhood / fatherhood (parenthood i.e. beyond childbearing) - Legal registration (at birth, census, marriage) - Race; ethnicity; origin; caste

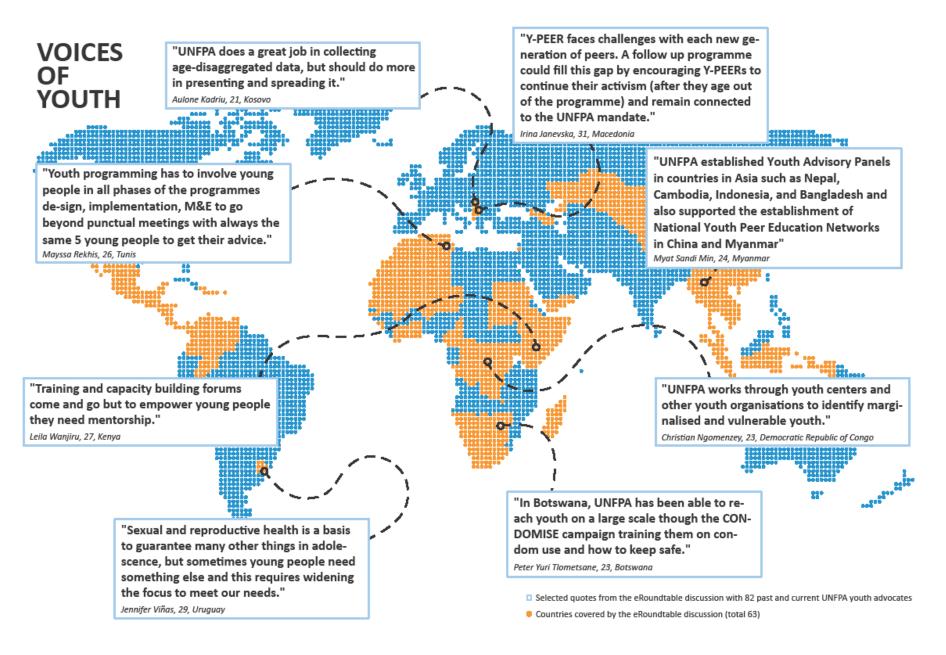
Data collection: stakeholders **Data Collection** groups consulted and awareness of bias in privileging certain ways of "knowing"; Methodology social and communicating skills use of mixed methods, data triangulation, and participation of stakeholders in evaluation, including in validation **Attitudes Confidentiality** Communities' understanding Balance risks/problems vs. assets and **Behaviors** strengths **Record keeping/ownership** Managing tensions/conflicts Learning **Context Matters Evaluation Findings Validation** Methods **Facebook e-round tables Triangulation** Youth consultants in case studies Internal and external



Limited plurality/diversity of A&Y

Roe of COs and potential bias

Internal and external
Limited range of stakeholders
consulted





Dissemination
planned with
VMGs and directed
at diverse groups

Dissemination

UNEG Guidance: (1) Reflect collectively on dissemination plan and work to ensure that final beneficiaries/rights help inform the dissemination plan; (2) Further explore how to ensure that results of the evaluation reach/are accessible to final beneficiaries and community groups; (3) Disseminate results through multiple mediums (and tailor information accordingly).

Dissemination to:

- VMGs not involved in elaboration of dissemination plans from the onset; at times discussions in-countries with some groups
- Results of evaluations are not actively disseminated to VMGs; Use of multiple mediums yet not accessible to VMGs nor tailored to cultural contexts

 Use of e-round tables (A&Y)

Dissemination **about** VMGs' Sexual and Reproductive Rights: opposition based on use of "progressive" language in independent evaluation reports

Underlying challenges to the Participation of VMGs

Time and Resources

Participatory approaches take time, expertise, and increased (or redistributed) budget

Institutional

Ensuring buy in/commitment at highest level

Ensuring HRBA to evaluation, while maintaining cultural sensitivity

Importance of understanding gender and human rights as analytical categories

Plurality: valuing knowledge/information from diverse sources and shared in different formats; understanding and valuing difference

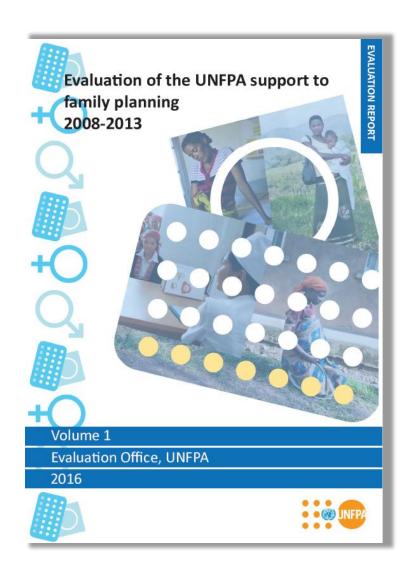
Analytical

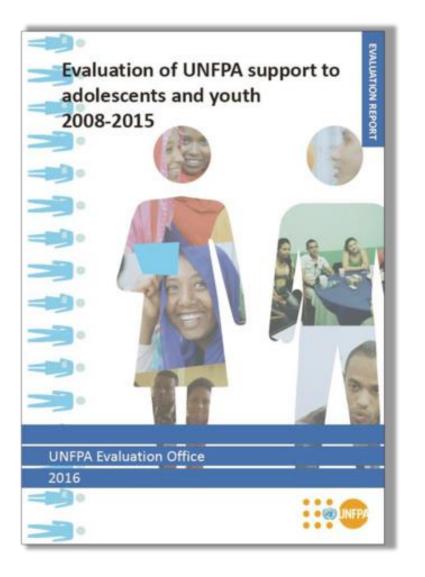
Epistemological

Evaluation Reports



Evaluation Reports (1/2)







Evaluation Reports (2/2)



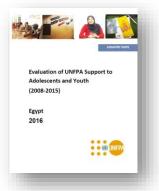




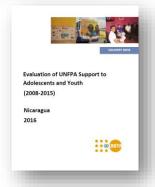














Available at http://www.unfpa.org/admin-resource/evaluation-unfpa-support-family-planning-2008-2013



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Visit UNFPA Evaluation Office webpage at http://www.unfpa.org/evaluation

